Yu (Kelly) Shi

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Department of Public Administration
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ACADEMIC APPOINTMENTS

Assistant Professor 2016-present
Department of Public Administration
University of North Texas

Instructor and Research Assistant
Department of Public Administration 2010-2016
University of Illinois at Chicago

EDUCATION

Ph. D., Public Administration 2016
University of Illinois at Chicago
Fields: Public Budgeting and Finance, Nonprofit and Public Management
Dissertation Topic: *Using mixed method to investigate macro-level governing structure and its impact on state and local government employment in the United States.*
Advisor: Dr. Rebecca Hendrick

Masters of Public Administration (MPA)
With Certificate in Conflict Resolution
Syracuse University, Syracuse, New York
Fields: Financial Management, Conflict and Collaboration 2010

Bachelor of Arts (BA)
University of Nottingham, United Kingdom 2008
Major: International Studies
Minor: Spanish

RESEARCH AND TEACHING INTERESTS

Public budgeting, financial management of state and local governments, municipal policy space and fiscal policy, financial conditions, collaborative government, financial management in not-for-profit organizations, qualitative and quantitative methods.

PUBLICATIONS

Peer-Reviewed Journal Articles (*denote UNT doctoral students)

1. **Shi, Y.,** and Rebecca Hendrick. 2019. (Forthcoming) "The Problem of the Fiscal Common-Pool: Is There an Overlap Effect on State and Local Debt". *Journal of Public Budgeting, Accounting and Financial Management*, 31(1). **[Lead article]** (SJR impact factor: 0.259)

2. **Shi, Y.** February, 2019. "A Response to Fiscal Stress: Public Sector Employment Reduction across States during a Budget Crisis". *International Journal of Public Administration*. (https://doi.org/10.1080/01900692.2019.1575852 SJR impact factor: 0.615)

3. **Shi, Y.,** Nisa Aydemir and Yonghong Wu. November, 2018. "What Factor Drives the Adoption of Municipal Fiscal Policies? An Empirical Investigation of Major U.S. Cities". *State and Local Government Review*, 50 (3). **[Lead article]** (https://doi.org/10.1177/0160323X18813418)

4. **Shi, Y.,** Rebecca Hendrick; and HyungGun Park*. August, 2018. "Fiscal Decentralization and Capacity to Service Debt: Are They Tightly Linked?" *Public Finance and Management,*18 (2): 192-223. **[Lead article]**

5. Yonghong Wu., and **Yu Shi**. July, 2018. "How Institutional Environment Affects General Fund Balances of Major American Cities?" *Local Government Studies,* 44 (6): 745-765. (https://doi.org/10.1080/03003930.2018.1501365 JCR Impact factor: 1.440)

6. **Shi, Y.** May, 2018. "An Empirical Assessment of the Link between Local Autonomy and Special District Finance". *Local Government Studies*, 44 (4): 531-551. (https://doi.org/10.1080/03003930.2018.1471395 JCR Impact factor: 1.440)

7. **Shi, Y.** March, 2018. "An Exploration of the Impact of Macro-Level Governing Structure on Local Public Sector Employment in the United States". *International Review of Public Administration,* 23 (1): 37-54. (https://doi.org/10.1080/12294659.2018.1447882 SJR Impact factor: 0.585)

8. **Shi, Y.** and Jie Tao*. February, 2018. "Faulty Fiscal Illusion: Examining the Relationship between Revenue Diversification and Tax Burden of American Cities Across the Economic Cycle". *Local Government Studies*, 44 (3): 416-435. **[Lead article]** (https://doi.org/10.1080/03003930.2018.1433662 JCR Impact factor: 1.440)

9. **Shi, Y.** January, 2017. "The Rise of Specialized Governance: Testing the Links Between Local Government Autonomy and Formation of Special Districts Among States." *Publius: The Journal of Federalism*, 47(1): 99-130. (https://doi.org/10.1093/publius/pjw025 JCR Impact factor: 1.518)

- Recipient of the 2018 Wen Wang Best Young Scholar Manuscript Award, China-America Association of Public Affairs

10. **Shi, Y.** 2016. "State Budget Shortfalls and Budget Balancing Strategies During and After the Great Recession of 2008." *Journal of Public Budgeting, Accounting and Financial Management,* 28 (1): 26-47. (https://doi.org/10.1108/JPBAFM-28-01-2016-B003 SJR Impact factor: 0.259)

11. Hendrick, Rebecca., & **Yu Shi**. May, 2015. "Macro-Level Determinants of Local Government Interaction. How Metropolitan Regions in the United States Compare". *Urban Affairs Review*, 51 (3): 414-438. (https://doi.org/10.1177/1078087414530546 JCR Impact factor: 1.894)

Book Chapters

1. **Shi, Y**. 2019. "*Public Employment during Budget Crisis*" in Global Encyclopedia of Public Administration, Public Policy and Governance.

Paper Under Review

2. Miao, Qing., **Yu Shi.,** & Meri Davlasheridze. 2019. "Natural Disaster Mitigation and the Paradox of Fiscal Decentralization: Evidence from the United States" (Revise & Resubmit at *Publius*).

3. Yonghong Wu., and **Yu Shi**. 2019. "How Does General Fund Balance Affect Municipal Expenditure Volatility? Evidence from Major American Cities" (Revise & Resubmit at *Urban Affairs Review*).

4. **Shi, Y**. 2019. "Using Fuzzy Logic to Evaluate State Financial Conditions During and After the Great Recession of 2008: Fuzzy Rule Base System" (Under Review at *Public Administration Quarterly*).

5. **Shi, Y**. 2019. "The Expansion of the Public Sector in Federalism: A Comparative Analysis of a Macro Level Governing Structure and Government Size". (Under Review at *Local Government Studies*).

6. Yuan, Cheng; **Yu Shi.,** & Simon Andrew. 2019. *"Bridging Nonprofit Support and Public Service Outcomes: Evidence from Large U.S. City Park System*" (Book chapter under contract).

Working Papers (*denote UNT master or doctoral students)

1. HyungGun Park and **Yu Shi**. "*District Reliance by Service Function: A Study of Public Financing of American Special-Function Jurisdictions*".

2. **Shi, Y.** and Jingran Sun*. "*A Spatial Analysis of Local Government Disaster Mitigation under State Rescaling in the U.S*".

3. Jimenez, Benedict., Rebecca Hendrick & **Yu Shi**. "*Towards A Comprehensive Framework for Studying Interlocal Collaborative Action: General Propositions, Empirical Evidence and A Research Agenda".*

4. Sun, Jingran*. & **Yu Shi**. "*To Give or to Get: The Impact of Nonprofit Board Diversity on Donation Behaviors".*

5. Qing, Li. **Yu Shi**., & Robert L. Bland. "*An Analysis of the Emerging Bond Market in China".*

6. Yang Yuze; **Yu Shi**., & Simon Andrew. "*The Rise of Urban Annexation in China: An Institutional Collective Action Framework*".

7. **Shi, Y.** and Lindsay Jacques*. "*The Unique Fiscal Policy Space and Policy Actions: A Case Study of Philadelphia*".

8. Hoene, Chris., Michael Pagano, Shu Wang., & **Yu Shi**. "*The Fiscal Policy Space of Municipalities*".

9. **Shi, Y.,** & Yonghong Wu. "*Living with Breathing Room: Case Studies on the Least Constrained Citie*s".

10. **Shi, Y.** "*Asymmetric Response of Public Expenditure to State Aid Cuts: The Case of Maryland Local Governments From 2001 to 2009".*

CONFERENCE PRESENTATIONS (*with current UNT PhD students)

1. "*District reliance by service function: A study of public financing of American special-function jurisdictions*" (with HyungGun Park*) at the American Society for Public Administration (ASPA), Washington, D.C., March 8-12, 2019.

2. "*A spatial analysis of local government disaster mitigation under state rescaling in the U.S.*" (with Jingran Sun*) at Association for Budgeting and Financial Management (ABFM), Denver, Colorado, Oct 4th-6th, 2018.

3. "*District reliance by service function: A study of public financing of American special-function jurisdictions*" (with HyungGun Park*) at Association for Budgeting and Financial Management (ABFM), Denver, Colorado, Oct 4th-6th, 2018.

4. "*Bridging nonprofit support, polycentricity, and public service outcomes: Evidence from U.S large city park systems*" (with Yuan Cheng and Simon Andrew) at Rethinking Cross-Sector Social Innovation Conference at the Harvard Kennedy School, Boston, April 2018.

5. "*Bridging nonprofit support, polycentricity, and public service outcomes: Evidence from U.S large city park systems*" (with Yuan Cheng and Simon Andrew) at Symposium on Nonprofit Urban Impact at Texas Tech University, Lubbock, TX, March 2018.

6. *"Does a polycentric system of governance perform better? Evidence from U.S large city park systems*" (with Yuan Cheng and Simon Andrew) at American Society for Public Administration (ASPA), Denver, Colorado., March 9-13, 2018.

7. "*The determinants of local government general fund expenditure volatility: Evidence from American cities"* (with Yonghong Wu) at Association for Public Policy Analysis and Management (APPAM) Fall Research Conference, Chicago, IL. November 4-6, 2017.

8. "*An empirical analysis of the link between local autonomy and special district finance*" at Association for Budgeting and Financial Management (ABFM), Washington D.C, September 27-30, 2017.

9. "*The determinants of local government general fund expenditure volatility: Evidence from American cities"* (with Yonghong Wu) at Association for Budgeting and Financial Management (ABFM), Washington D.C, September 27-30, 2017.

10. "*An analysis of the emerging bond market in China: A preliminary profile of the provincial bond market"* (with Robert Bland, Qing Li and Jingran Sun) at Association for Budgeting and Financial Management (ABFM), Washington D.C, September 27-30, 2017.

11. *"Testing the links between the consideration and adoption of fiscal policy actions to the state and local attributes in 'fiscal policy space' of cities"* (with Yonghong Wu and Nisa Aydemir) at Western Social Science Association (public finance and budgeting section), San Francisco, CA, April 12-15, 2017.

12. *"Exploration of slack flexibilities within fiscal policy space: An empirical analysis of large American cities"* (with Yonghong Wu) at Association for Budgeting and Financial Management (ABFM), Seattle, WA., October 6-8, 2016.

13. *"Exploration of slack flexibilities within fiscal policy space: An empirical analysis of large American cities"* (with Yonghong Wu) at Association for Public Policy Analysis and Management (APPAM) Fall Research Conference, Washington, D.C., November 3-5, 2016.

14. "*Does fiscal decentralization reduce natural disaster losses? An empirical analysis of the states*" at Midwest Political Science Association (MPSA) Conference at Chicago, IL, April, 2016.

15. "*The growth of specialized governance: Testing the links between multiple dimensions of local government autonomy and the formation of special districts among states*" at Deli

Wright symposium for outstanding student and junior scholar, ASPA section on intergovernmental administration and management (SIAM), Seattle, WA, March, 2016.

16. "*Towards a comprehensive framework for studying interlocal collaborative action: general propositions, empirical evidence and a research agenda*" at Public Management Research Association (PMRA) Conference at Minneapolis, June 11-13, 2015.

17. "*Towards a comprehensive framework for studying interlocal collaborative action: general propositions, empirical evidence and a research agenda*" at Innovation, Institution, and Governance International Conference held by Fudan University, Shanghai, China., May 25, 2015.

18. "*State budget shortfalls and budget balancing strategies in the 2008 Great Recession*" at American Society for Public Administration (ASPA), Chicago, IL., March 6-10, 2015.

19. "*Living with breathing room: the least constrained cities*" (with Yonghong Wu) at Association for Budgeting and Financial Management (ABFM), Grand Rapids, MI., October 2-4, 2014.

20. "*Living with breathing room: the least constrained cities*" at Workshop for Federal Reserve Bank of Chicago, Chicago IL., August 8, 2014.

21. *"Testing the links between the consideration and adoption of fiscal policy actions to the state and local attributes in 'fiscal policy space' of cities"* (with Nisa Aydemir, Yonghong Wu) at Association for Budgeting and Financial Management (ABFM), Washington, D.C., October, 2013; and at National Tax Association Annual Conference, Tampa Bay, FL, November, 2013.

22. *"Asymmetric response of public expenditure to state aid cuts: The case of Maryland local governments from 2001 to 2009*" accepted at public finance and budgeting section of the Western Social Science Association (WSSA), April, 2013.

23. *"An exploration of the impacts of fiscal federalism on municipal and state government debt"* (with Rebecca Hendrick) Association for Budgeting and Financial Management (ABFM), New York City., October, 2012.

24. *"The fiscal policy space of municipalities*" (with Michael Pagano, Christopher Hoene, Shu Wang) Association for Budgeting and Financial Management (ABFM), NYC., October, 2012.

TEACHING EXPERIENCE

University of North Texas
Department of Public Administration

- Financial Aspect of Government (PADM 3410-undergradaute), Fall 2016
- Managing Financial Resources (PADM 5400-MPA), Fall 2016, Spring 2017, Spring

2018, Spring 2019
- Leading and Managing Public Organizations (PADM 5020-MPA & PADM 6700-PhD seminar), Spring 2017, Fall 2017, Fall 2018, Spring 2019
- Nonprofit Financial Management (PADM 5700-MPA & PADM 6700-PhD seminar), Fall 2017, Fall 2018, Fall 2019
- Research Method II (PADM 5510), Fall 2019

University of Illinois at Chicago
Department of Public Administration
- Public Budgeting and Financial Management (UPA 305-undergraduate)
- Data Analysis for Public Administration (PA 407-MPA)

UNIVERSITY& DEPARTMENT SERVICE

University of North Texas (UNT)
- Committee Member, The Faculty Senate Budget Committee, Group IV representative (from March 3, 2017 to September 1, 2019)
- Committee Member, The Teaching Fellow/Teaching Assistants Committee, Group IV representative (from November 14, 2018 to September, 2021)

Department of Public Administration (UNT)
- Visiting international scholar (from Sun Yat-Sen University, China) co-mentor (with Dr. Simon Andrew), Fall 2018 & Spring 2019
- Exam administrator, MPA comprehensive exam, Summer 2018
- Elections judge, Department Executive Committee Election, Fall 2016 & Spring 2017 & Fall 2017& Spring 2018
- Curriculum Degree Program Committee (CDPC) member, Fall 2017& Spring 2018
- Nonprofit Leadership Studies Advisory (NLSA) Committee member, Spring 2018
- PhD Student Advisory Committee member, Spring 2017 (9 PhD students), Fall 2017 (1 PhD student), Spring 2018 (6 PhD students)
- Urban Studies BS Degree application (working with Dr. Andrew) Fall 2016 & Spring 2017
- MPA student advisor (18 current MPA students)
- Grader for MPA Comprehensive Exams, Fall 2016 (4 students) & Spring 2017 (7 students); Summer 2017 (3 students); Fall 2017 (3 students); Spring 2018 (7 students)
- Committee member and grader, PhD Student Core Comprehensive Exam, Fall 2016 (4 PhD students); Fall 2017 (3 students); Spring 2018 (2 students)
- Committee member and grader for PhD Student Specialization Exam, Spring 2017 (1 PhD student); Spring 2018 (1 PhD student); Spring 2019 (2 students)

PROFESSIONAL MEMBERSHIPS

Association for Budgeting and Financial Management (ABFM), **2012-present**
American Society for Public Administration (ASPA), **2015-present**
Public Management Research Association (PMRA), **2015, 2017**
Association for Public Policy Analysis and management (APPAM), **2015-present**

National Tax Association (NTA), **2013**
Midwest Political Science Association (MPSA), **2012-2013**

JOURNAL REVIEWER

Publius: The Journal of Federalism
Public Administration Review (PAR)
Urban Affairs Review (UAR)
Journal of Urban Affairs (JUA)
Journal of Public Budgeting, Accounting and Financial Management (JPBAFM)
International Review of Public Administration (IRPA)
Perspective on Public Management and Governance (PPMG)
Local Government Studies (LGS)

AWARDS

Wen Wang Award for Best Young Scholar Paper, CAAPA, Spring 2018
UNT Junior Faculty Summer Research Support Award, UNT, Summer 2017
UNT CLEAR CDI course design grant, UNT, Summer 2017
Donald C. Stone Award, finalist, ASPA, 2016
UIC Presenter Award, UIC, 2015 & 2016
The Graduate School Council Travel Award, UIC,2014 & 2015 & 2016
Graduate Assistantship, University of Illinois at Chicago (UIC), 2012-2014
Department Award for ICPSR quantitative methods training, summer 2012
Maxwell Tuition Scholarship, Syracuse University, 2009
Maxwell Professor Scholar Award, Syracuse University, 2008