

Course Syllabus – Spring 2017
RMIN 4600.001 Risk Management
College of Business Administration
Department of Finance, Insurance, Real Estate and Law
University of North Texas

Instructor: Cary Cates, MBA, CFP®, EA - Adjunct Professor

Meeting time: Monday, 6:30 – 9:20 pm

Classroom: BLB 260

Contact Information:

e-mail: cary.cates@unt.edu

mobile phone: 940.368.0909

Please use email for correspondence and any questions. I will work to return any questions within 24 hours. You may also contact me by phone; if I do not answer, please leave a voicemail with your name, reference this class, and briefly describe why you called. I will also be available after classes on Tuesday.

Text: Risk Management Principles and Practices, 1st edition, 4th printing, October 2015, Edited by Michael W. Elliott. **Please confirm you have the correct edition! The correct one does not say University of North Texas on the cover.**

This text is also used in preparation for the ARM 54 exam. You can purchase the textbook directly for \$132.00, prior to shipping, by going to www.theinstitutes.org → Designations → ARM → Buy ARM Course Material → Step 1: ARM 54 → Step 2: select any exam window.

Purpose of Course: This course is designed to provide students with a comfortable grasp of practical risk management, based on a fundamental understanding of risk assessment concepts and applications of risk tools in decision-making. The focus is on assessing risk and prioritizing risk mitigation resources. The emphasis is not on insurance contract analysis.

Student Evaluations: Student Perceptions of Teaching (SPOT)

Student feedback is important and an essential part of participation in this course. The student evaluation of instruction is a requirement for all organized classes at UNT. The short SPOT survey will be made available via email. Please look for the email in your UNT email inbox. Simply click on the link and complete your survey. Once you complete the survey you will receive a confirmation email that the survey has been submitted. For additional information, please visit the spot website at www.spot.unt.edu or email spot@unt.edu.

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Week	Date	Topics for discussion	Reading
1	01/23	Introduction to Class & Instructor	Syllabus
2	01/30	Introduction to Risk Management	Chapter 1
3	02/06	Risk Management Standards and Guidelines	Chapter 2
4	02/13	Hazard Risk	Chapter 3
5	02/20	Operational, Financial and Strategic Risk	Chapter 4
6	02/27	Examination #1	
7	03/06	Guest Speaker	
8	03/13	No Class – Spring Break	
9	03/20	Risk Management Framework and Process	Chapter 5
10	03/27	Risk Identification	Chapter 6
11	04/03	Risk Analysis	Chapter 7 & 8
12	04/10	Examination #2	
13	04/17	Financial Statement Risk Analysis Extra Credit White Paper due	Chapter 9
14	04/24	Capital Investment and Financial Risk	Chapter 10
15	05/01	Monitoring and Reporting on Risk	Chapter 11
16	05/08	Examination #3	

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Grading:

Exam 1	30 Points
Exam 2	30 Points
Exam 3	30 Points
Attendance and Participation	10 Points
Total	100 Points
Extra Credit – White Paper	Up to 10 Points (for professional quality work)

Exams: The three exams will be 30 multiple choice questions each and you will have the entire class period to complete the exam. If you do well on two exams, you have the option to drop the lowest exam grade and replace it with an average grade of the other two exams.

Student Responsibilities: Students are responsible for reading all applicable assignments prior to the class when they are to be covered.

Cell Phone policy: Cell phone use in class will not be permitted, except for emergencies.

Academic Dishonesty Policy

Cheating, in any form, will result in an automatic grade of “F” in the course, the removal of the student from the course, and immediate reporting of the student’s actions to the Office of the Dean of Students and to the Office of the Dean of the College of Business.

ADA STATEMENT

The University of North Texas is on record as being committed to both the spirit and letter of federal equal opportunity legislation; reference Public Law 92-112 – The Rehabilitation Act of 1973 as amended. With the passage of new federal legislation entitled Americans with Disabilities Act (ADA), pursuant to section 504 of the Rehabilitation Act, there is renewed focus on providing this population with the same opportunities enjoyed by all citizens.

As a faculty member, I am required by law to provide "reasonable accommodations" to students with disabilities, so as not to discriminate on the basis of that disability. Student responsibility primarily rests with informing faculty of their need for accommodation and in providing authorized documentation through designated administrative channels. Information regarding specific diagnostic criteria and policies for obtaining academic accommodations can be found at <http://www.unt.edu/oda/apply/index.html>. Also, you may visit the Office of Disability Accommodation in the University Union (room 321) or call us at (940) 565-4323.

If you need an accommodation, please contact me as soon as possible.